DT07 Rec'd PCT/PT0 1 3 SEP 2004

PTO-1390 (Rev. 07-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES	ATTTORNEY'S DOCKET NUMBER 2271/72985		
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	U.S. APPIICATION HO All known, see 37 CFR 1.5)		
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED		
PCT/JP03/06082 15 May 2003	20 May 2002 OPLET EJECTING HEAD HAVING		
STABLE OPERATION CHARACTERISTICS AGAINST ENVIRONMENT	ENTAL CHANGES		
APPLICANT(S) FOR DO/EO/US Shinji TANAKA			
Applicant herewith submits to the United States Designated/Elected Office (DO/EC	O/US) the following items and other information:		
1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 37	1.		
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission			
3. This is an express request to begin national examination procedures (35 U.S.C. 37 (5), (6), (9) and (21) indicated below.	71(f)). The submission must include items		
4. The US has been elected (Article 31).			
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
a. x is attached hereto (required only if not communicated by the Internation	onal Bureau).		
 b. has been communicated by the International Bureau. 	ry and the second of the second		
c. is not required, as the application was filed in the United States Received	ving Office (RO/US).		
6. An English language translation of the International Application as filed (35 U.S.	.C. 371(c)(2)).		
a. is attached hereto.			
b. has been previously submitted under 35 U.S.C. 154(d)(4).			
7. Amendments to the claims of the International Application under PCT Article 19) (35 U.S.C. 371(c)(3))		
a. are attached hereto (required only if not communicated by the Intern	national Bureau).		
b. have been communicated by the International Bureau.	·		
c. have not been made; however, the time limit for making such amend	dments has NOT expired.		
d. have not been made and will not be made.			
8. An English language translation of the amendments to the claims under PCT A	Article 19 (35 U.S.C. 371(c)(3)).		
g X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10. An English language translation of the annexes of the International Preliminary Article 36 (35 U.S.C. 371(c)(5)).	Examination Report under PCT		
Items 11 to 20 below concern document(s) or information included:	·		
11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	•		
12. X An assignment document for recording. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.		
13. A preliminary amendment.			
14. An Application Data Sheet under 37 CFR 1.76.			
15. A substitute specification.	•		
16. A power of attorney and/or change of address letter.			
17. A computer-readable form of the sequence listing in accordance with PCT Rule			
18. A second copy of the published International Application under 35 U.S.C. 154(
19. A second copy of the English language translation of the International Applicat	tion under 35 U.S.C. 154(d)(4).		
20. X Other items or information: See attached Page 3	· · · · · · · · · · · · · · · · · · ·		

This collection of information is required by 37 CFR 1.414 and 1.491.1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete. Including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DT15 Rec'd PCT/PTO 1.3 SEP 2004

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U.S. APPLICATION NO	O. (if known, see 37 CFR 1.5	INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
A C	1/507346			2271/72985	
21. X The following	for on submitted:			CALCULATIONS	PTO USE ONLY
	E (CFR 1.492(a)(1)–(5)):				
	eliminary examination fee (37	7 CER 1 482)			•
nor international search and International Searc	ifee (37 CFR 1.445(a)(2)) pa h Report not prepared by the	EPO or JPO	\$1080.00		
USPTO but Internationa		y the EPO or JPO	\$920.00	,	
but international search		aid to USPIO	\$770.00		e.
but all claims did not sa		e 33(1)-(4)	\$730.00		
and all claims satisfied	y examination fee (37 CFR 1 provisions of PCT Article 33 ENTER APPROPRIAT	.482) paid to USPTO (1)-(4) E BASIC FEE AMOUN	\$100.00 T =	\$920.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	23 - 20 =	3	X \$18.00	\$54.00	
Independent claims	12 -3=	9	X \$86.00	\$774.00	
	NT CLAIM(S) (if applicable)		+ \$290.00	\$0	
MOLTIFLE DEFENDE	(1) CE/min(c) (ii applicatio)	TOTAL OF ABOVE CA	LCULATIONS =	\$1,748.00	
Applicant claims by 1/2.	small entity status. See 37 C	FR 1.27. The fees indicated a	bove are reduced	\$	
•			SUBTOTAL =	\$1,748.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$		
TOTAL NATIONAL FEE =			\$1,748.00		
Fee for recording the e	enclosed assignment (37 CFI er sheet (37 CFR 3.28, 3.31)	R 1.21(h)). The assignment m . \$40.00 per property	ust be accompanied +	\$40.00	
TOTAL FEES ENCLOSED =			\$1,788.00		
				Amount to be refunded:	\$
			•	Amount to be charged:	\$
a. X A check in the	1 788	00 to cover the at	oove fees is enclosed.		
	ne amount of $\frac{1,788}{}$.		f \$ to c	cover the above fees.	
A duplicate	copy of this sheet is enclose	d.			ent to Deposit
		o charge any additional fees oppy of this sheet is enclosed.			
be included	on this form. Provide credi	WARNING: Information on thit to card information and authorities.	zation on PTO-2036.		
NOTE: Where an app and granted to resto	propriate time limit under 3 re the International Applica	7 CFR 1.495 has not been mation to pending status.	net, a petition to reviv	re (37 CFR 1.137(a) or (l	o)) must be filed
SEND ALL CORRESP	PONDENCE TO:			7 4	
Customer N	umber 23432	,	tan	Lleng	
Ivan S. Ka	vrukov, Esq.		SIGNATURE	/ 1	
Cooper & D	unham LLP		Paul Te	ing U	
	e of the Americ	as	NAME		
New York,	New York 10036		40,837	·	
Tel.:[(212) 278-0400		REGISTRAT	TION NUMBER	.=

Page 3 of Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Submission Under 35 U.S.C. 371

20. Other items or information:

cover page of PCT Publication No. WO 03/097364 A1, copy of PCT Request, copy of Notification Concerning Submission Or Transmittal Of Priority Document, copy of Notification Of Transmittal Of The International Search Report Or The Declaration, copy of Chapter II PCT Demand, copy of Notice Informing The Applicant Of The Communication Of The International Application To The Designated Offices, copy of Written Opinion, and copy of Notification Of Transmittal Of International Preliminary Examination Report.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Shinji TANAKA

Serial No.

Not Yet Known

Int'l Application

No.: PCT/JP03/06082

Date Filed

Concurrently Herewith

Int'l Application

Filing Date: 15 May 2003

For

ELECTROSTATIC ACTUATOR AND LIQUID DROPLET EJECTING

HEAD HAVING STABLE OPERATION CHARACTERISTICS

AGAINST ENVIRONMENTAL CHANGES

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

ATTN: DO/EO/US

Sir:

EXPRESS MAIL LETTER OF TRANSMITTAL

Express Mail mailing label number: EV330887874US

Date of Deposit: September 13, 2004

I hereby certify that the above-identified new application, consisting of three pages of the Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (in duplicate), a copy of the International Application as filed [including 97-page specification with claims 1-23, Abstract and 14 sheets of formal drawings (Figs. 1-26)], Declaration And Power Of Attorney (3 pages), Assignment and Recordation Cover Sheet (4 pages total), Information Disclosure Statement (including Form PTO-1449 and copies of references), cover page of PCT Publication No. WO 03/097364 A1, copy of PCT Request, copy of Notification Concerning Submission Or Transmittal Of Priority Document, copy of Notification Of Transmittal Of The International Search Report Or The Declaration, copy of Chapter II PCT Demand, copy of Notice Informing The Applicant Of The Communication Of The International Application To The Designated Offices, copy of Written Opinion, copy of Notification Of Transmittal Of International Preliminary Examination Report, a check in the amount of \$1,788.00 and return stamped postcard, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ATTN: DO/EO/US.

Mail Room Employee

Depositing Express Mail Material

Tamel Rhabb

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